

## JOHN TOBIN-DE LA PUENTE

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Cornell University  
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### EDUCATION

HARVARD UNIVERSITY: Ph.D. in Organismic and Evolutionary Biology, 1995  
Dissertation: *Ecology and Diversity of Neotropical Rainforest Canopy Ants*  
Dissertation advisor: Prof. Edward O. Wilson

HARVARD LAW SCHOOL: J.D., 1995  
Research paper: *Intellectual Property Rights, Genetic Resources, and the Pharmaceutical Industry: Drug Development and the Conservation of Biological Diversity*

UNIVERSITY OF CALIFORNIA AT LOS ANGELES: B.S. in Biology, 1986, *magna cum laude*

COLEGIO SANTA MARGARITA, Lima, Peru: Secondary School

### EMPLOYMENT HISTORY

CORNELL UNIVERSITY, Ithaca, New York, March 2016 to the present  
*Professor of Practice of Corporate Sustainability*: joint appointment between the Charles H. Dyson School of Applied Economics and Management and the Cornell Institute for Public Affairs (CIPA)  
*Faculty Fellow, Atkinson Center for a Sustainable Future*  
*Program Director, Cornell Master of Professional Studies (M.P.S.) in Applied Economics and Management with the CEMS Master in International Management (M.I.M.)*

Responsibilities at Cornell University primarily include: teaching undergraduate and graduate courses, research, and outreach and engagement activities at the intersection of finance and sustainability. In addition, directing an Environmental Finance and Impact Investing (EFII) certificate program for professional Master students; directing Cornell's CEMS M.P.S./M.I.M. program; contributing to faculty committee work (including chairing promotion review committees); substantial academic and professional advising work with undergraduate and graduate students.

Courses taught: AEM 2550 (Corporate Sustainability), AEM 2555 (Corporate Sustainability – Grand Challenges), AEM 4090 (Environmental Finance and Markets), AEM 4940 (Corporate Sustainability – The Business Challenge), PADM 5570 (Corporate Responsibility), PADM 5572 (Topics in Environmental Finance and Impact Investing), PADM 5579/5978/5979 (Environmental Finance and Impact Investing Practicum), PADM 5910 (Special Topics: Domestic Capstone)

CREDIT SUISSE, Zurich, Switzerland, 2007 to 2016  
*Managing Director and Global Head of Sustainability*. Responsibilities included: developing, articulating, and implementing corporate strategy in the area of sustainability; supervising a multicultural team of finance professionals based in Zurich, London, New York, and Hong Kong that manages risk and provides controls in connection with environmental and social matters; developing partnerships and other collaborations in the area of sustainability with private sector actors and with relevant not-for-profit organizations, including non-governmental and inter-governmental entities and academic institutions; managing the bank's interactions with environmental and social advocacy organizations; developing and producing interdisciplinary research products in the area of sustainability; coordinating the bank's external commitments on sustainability matters, including the Equator Principles and the UNEP Finance Initiative; supporting the origination and delivery of socially responsible investment products and working with relevant business units to structure solutions in the area of values-based investing; developing policies on sensitive industries; communicating the bank's position internally and externally on sustainability issues; advising generally on corporate sustainability matters.

CREDIT SUISSE, New York, New York, 2002 to 2007  
*Director and Counsel, General Counsel Division, International Finance Group*. Responsibilities included: advising on the structuring and documentation of cross-border financings, including structured financing, secured loans, syndicated lending, project finance, repackaging, and securities offerings involving emerging markets,

particularly Latin America; managing external counsel in the execution of high-impact transactions involving international markets; drafting and providing input on Credit Suisse policies and related legal and regulatory requirements; advising generally on cross-border legal issues.

CLIFFORD CHANCE, New York, New York, 1997 to 2002

*Corporate Attorney.* Responsibilities included: working on US law aspects of cross-border capital markets transactions involving a variety of developed and emerging markets; drafting financial documents requiring strong quantitative skills and the ability to analyze and dissect financial statements; supervising, training, and evaluating attorneys and other legal professionals; leading transaction teams in the execution of numerous financings; following an internal election process, representing the firm's associates on the firm's Personnel Committee in discussions with the partnership on topics including compensation.

DAVIS POLK & WARDWELL, New York, New York, 1995 to 1997

*Corporate Attorney.* Responsibilities included: representing underwriters, issuers, and sovereigns in a variety of transactions, including privatizations, public offerings, and private placements of both debt and equity securities; advising international clients on the preparation of annual reports and other US disclosure documents, and generally on compliance with US laws.

## **SELECTED RELEVANT EXPERIENCE**

INTERNATIONAL UNION FOR CONSERVATION OF NATURE-UNITED STATES (IUCN-US), ongoing  
*Member of the Board of Directors.* IUCN is the global authority on the status of the natural world and the measures needed to safeguard it. IUCN-US is the US-based 501(c)(3) entity of IUCN.

COALITION FOR PRIVATE INVESTMENT IN CONSERVATION (CPIC), 2016 to the present  
*Co-founder and Member of the Steering Committee.* CPIC brings together a group of commercial banks, development finance institutions, environmental organizations, academic institutions, law firms, and others seeking to facilitate the entry of private investment capital into environmental protection projects.

CONSERVATION FINANCE INVESTOR CONFERENCE, 2014 to the present

*Co-founder and Member of the Organizing Committee.* A yearly event held at Credit Suisse's regional headquarters in New York City.

FOREST TRENDS, Washington, DC, 2013 to the present

*Member of the Board of Directors.* Forest Trends is a not-for-profit environmental organization dedicated to promoting sustainable forest management and conservation by creating and capturing market values for ecosystem services.

INTERNATIONAL CHAMBER OF COMMERCE (ICC), Paris, France, 2008 to 2016

*Member of the Commission on Environment and Energy.* In this capacity, contributed to the definition and articulation of the business community's approach to a variety of environmental issues, including green growth, and represented ICC at events including the 2012 Rio+20 summit.

AMERICAN INSTITUTE OF BIOLOGICAL SCIENCES (AIBS), Washington, DC area, 2012 to 2015

*Member of the Board of Directors, Chair of the Finance Committee, and Treasurer.* AIBS is a not-for-profit scientific association founded in 1947 and dedicated to advancing biological research and education. AIBS's institutional membership includes 200 professional societies and scientific organizations.

EQUATOR PRINCIPLES ASSOCIATION, 2010 to 2016

*Member of the Steering Committee of the Equator Principles Association and Chair of the NGO Committee.* In addition, member of the drafting team that, together with six other individuals from Credit Suisse, Citi, ING Bank, and the Equator Principles Association Secretariat, wrote Version 3 of the Equator Principles ('EP3').

ORGANIZATION FOR TROPICAL STUDIES (OTS), Durham, North Carolina, 2005 to 2014 and 2020 to the present

*Member of the Board of Directors, Chair of the Audit Committee, and Secretary of the Board.* OTS is a consortium of over 60 universities globally that provides graduate and undergraduate training in tropical science and manages a network of world-class biological research stations.

NEW YORK STATE BAR, New York, New York, 1996-2008

*Member of the Bar of the State of New York.* Focused on international financial and corporate law and on matters requiring an understanding of the strategic, reputational, and regulatory issues that may impact global corporate entities, and in particular financial institutions.

### **RELEVANT PAST AND CURRENT MEMBERSHIPS AND INSTITUTIONAL AFFILIATIONS**

Amazon Conservation Association (former founding member of the Board of Directors); Museum of Natural History (former Research Associate in the Division of Invertebrate Zoology); Association of the Bar of the City of New York (former member of the Committee on International Environmental Law and the Committee on Inter-American Affairs); Equator Principles Association (former member of the Steering Committee, former co-chair of the NGO Committee); Explorers Club (Fellow National '03); Fundación Peruana para la Conservación de la Naturaleza-ProNaturaleza (former member of the Board of Directors); Harvard Club of Switzerland (former member); International Canopy Network (ICAN) (former member of the Advisory Board); United Nations International Strategy for Disaster Reduction (UNISDR) (former member of the Private Sector Advisory Group)

### **SELECTED ACADEMIC FELLOWSHIPS AND HONORS**

Engaged Cornell Faculty Fellow, 2016-2017

Fulbright Scholar (in residence in Panama), 1991-1992

Harvard University - Certificate of Distinction in Teaching, 1988 and 1989

National Science Foundation Minority Graduate Fellowship, 1986-1988 and 1989-1990

Elected to Phi Beta Kappa, 1986

### **SELECTED GRANTS**

Great Lakes Protection Fund, Transition Financing for Regenerative Agriculture Systems, 2020-23

Cornell University, Atkinson Center Grant for Conservation Finance, 2018-2021

Cornell University, Engaged Cornell Curriculum Development Grant, 2017-2018

Cornell University, Atkinson Center-EDF Innovation for Impact Grant, 2017-2018

Cornell University, Engaged Cornell Curriculum Planning Grant, 2016-2017

National Science Foundation Dissertation Improvement Grant, 1991-1992

### **PUBLIC SPEAKING**

Speaks regularly at industry events and universities on corporate sustainability, conservation finance, and related topics. Recent examples include lectures and panel discussions at the UNFCCC Climate Change Conference (COP21) in Paris, the International Union for Conservation of Nature (IUCN) World Conservation Congress in Hawaii, The Future of Forestry Investment conference in Sydney, Conferência Ethos 360 in São Paulo, the Economist Intelligence Unit (EIU) World Ocean Summit in Bali, and numerous others.

### **SELECTED INTERVIEWS AND MEDIA COVERAGE**

*Aktuell Hållbarhet*, January 15, 2021. Fredagskommentar: Nu skapas en ny marknad för naturens rikedomar. Available at <https://www.aktuellhallbarhet.se/miljo/biologisk-mangfald/fredagskommentar-nu-skapas-en-ny-marknad-for-naturens-rikedomar/>

*Le Monde*, January 11, 2021. Un signal financier positif donne confiance dans la possibilité de la transition. Available at [https://www.lemonde.fr/planete/article/2021/01/11/un-signal-financier-positif-donne-confiance-dans-la-possibilite-de-la-transition\\_6065855\\_3244.html](https://www.lemonde.fr/planete/article/2021/01/11/un-signal-financier-positif-donne-confiance-dans-la-possibilite-de-la-transition_6065855_3244.html)

*Business Wire*. September 18, 2020. Financing nature: Closing the global biodiversity financing gap. Available at <https://www.businesswire.com/news/home/20200918005475/en/Financing-Nature-Closing-the-Global-Biodiversity-Financing-Gap>

*Euromoney*, October 2019. Conservation finance: Can banks embrace natural capital? Available at <https://www.euromoney.com/article/b1hh1rcjthqmd/conservation-finance-can-banks-embrace-natural-capital>.

*Cornell Enterprise*, Winter 2017. Impact investing takes off, by Jeffrey Gangemi. Available at <https://www.johnson.cornell.edu/CornellEnterprise/Article/ArticleId/46894/Impact-Investing-Takes-Off>

*MPA News*, January 24, 2017 (online). The \$400-billion potential for private investment in conservation: With investors looking for projects, what can MPAs do to access the funds? Available at <https://mpanews.openchannels.org/news/mpa-news/400-billion-potential-private-investment-conservation-investors-looking-projects-what>

*Cornell Chronicle*, October 20, 2016 (online). Coalition taps private sector to help nature pay for itself. Available at <http://chronicle.cornell.edu/stories/2016/10/coalition-taps-private-sector-help-nature-pay-itself>

*The Nature Conservancy*, September 2, 2016 (online). New coalition launches to scale private conservation investment at IUCN World Conservation Congress. Available at <http://www.nature.org/news/features/pressreleases/new-coalition-launches-to-scale-private-conservation-investment-at-iucn-worl.xml>

*Daily Sun*, September 2, 2016 (online). International coalition launched to scale up private finance in conservation. Available at <http://www.daily-sun.com/home/printnews/164158>

*Conservation Finance Network*, July 27, 2016 (online). John Tobin advises bridging the rift between banks and NGOs, by Vince Tenorio. Available at <http://www.conservationfinancenetwork.org/2016/07/27/john-tobin-advises-bridging-the-rift-between-banks-and-ngos>

*Credit Suisse*, July 3, 2015 (online). The future of the ‘Blue Economy’, by Simon Stauffer. Available at <https://www.credit-suisse.com/us/en/about-us/responsibility/news-stories/articles/news-and-expertise/2015/07/en/the-future-of-the-blue-economy.html>

*Business School Lausanne*, May 7, 2015 (online). Credit Suisse—reputation is vital, by Mary Mayenfisch-Tobin. Available at <https://bsl-blog.org/2015/05/07/credit-suisse-reputation-is-vital/#more-575>

*Impact Alpha*, May 4, 2015 (online). Making nature pay: bankers work to finance conservation at scale, by David Bank. Available at <http://impactalpha.com/making-nature-pay-bankers-work-to-finance-conservation-at-scale/>

*The Guardian*, November 15, 2012. Sustainable finance: how far have the Equator Principles gone?, by Oliver Balch. Available at <https://www.theguardian.com/sustainable-business/sustainable-finance-equator-principles>

## NEWS COVERAGE OF DOCTORAL RESEARCH

*Science* 300:916-917, May 9, 2003 (print edition). Cryptic herbivores of the rainforest canopy, by James H. Hunt. Available at <http://www.sciencemag.org/content/300/5621/916.full?sid=81e26d42-8fc2-4433-91c0-48d5fd2a2b3d>

*The Economist*, May 8, 2003 (print edition). Veggieburgers, anyone? Available at <http://www.economist.com/node/1763577>

## FICTIONAL CHARACTERS

Wilson, E.O. 2010. *Anthill: A Novel*. W.W. Norton & Company. Raphael, the main character, is based in part on John Tobin, a former graduate student of the author.

## EPONYMY

FORMICIDAE: Myrmicinae; Pheidolini  
*Pheidole tobini* Wilson 2003

## APPENDIX: PUBLICATIONS

Technical, scientific, and editorial publications including peer-reviewed research papers, book chapters, newspaper articles, and letters, addressing a variety of topics in finance, biology, policy, and the environment.

Tobin-de la Puente, J. and A.W. Mitchell (eds.). 2021. *The Little Book of Investing in Nature*. Global Canopy. Available at [https://globalcanopy.org/wp-content/uploads/2021/01/LBIN\\_2020\\_EN.pdf](https://globalcanopy.org/wp-content/uploads/2021/01/LBIN_2020_EN.pdf)

Rodewald, A.D., P. Arcese, J. Sarra, J. Tobin-de la Puente, J. Sayer, F. Hawkins, T. Martin, B. Guy, and K. Wachowicz. 2020. Innovative finance for conservation: roles for ecologists and practitioners. *Issues in Ecology*. Available at [https://www.esa.org/wp-content/uploads/2020/11/ESA\\_IssuesInEcology\\_no.22.pdf](https://www.esa.org/wp-content/uploads/2020/11/ESA_IssuesInEcology_no.22.pdf)

Deutz, A., Heal, G.M., Niu, R., Swanson, E., Townshend, T., Li, Z., Delmar, A., Meghji, A., Sethi, S.A., and Tobin-de la Puente, J. 2020. *Financing Nature: Closing the global biodiversity financing gap*. The Paulson Institute, The Nature Conservancy, and the Cornell Atkinson Center for Sustainability. Available at <https://cpb-us-e1.wpmucdn.com/blogs.cornell.edu/dist/0/9351/files/2020/09/CBD-Full-Report-Endorsements-.pdf>

Fitzgerald, T.P., P.R. Higgins, E. Quilligan, S.A. Sethi, and J. Tobin-de la Puente, J. 2020. Catalyzing fisheries conservation investment. *Frontiers in Ecology and the Environment*. Available at <https://doi.org/10.1002/fee.2147>

Rodewald, A.D., P. Arcese, J. Sarra, and J. Tobin-de la Puente. 2019. RE: Paying to save biodiversity: conservation and the private sector. (A comment on Barbier *et al.* 2018.) *Science*. Available at <https://science.sciencemag.org/content/re-paying-save-biodiversity-conservation-and-private-sector>

Jaeggi, O., G.W. Ziero, J. Tobin-de la Puente, and J. Koelbel. 2018. Understanding sustainable finance. In K. Wendt (ed.), *Positive Impact Investing and Organizational Culture*. Springer International Publishing.

Stephenson, J. and 27 co-authors and contributors. 2018. Conservation Investment Blueprints: A Development Guide. PwC and the Coalition for Private Investment in Conservation (as contributor). Available at [http://cpicfinance.com/wp-content/uploads/2018/01/CPIC\\_Blueprint\\_Development\\_Guide\\_2018.pdf](http://cpicfinance.com/wp-content/uploads/2018/01/CPIC_Blueprint_Development_Guide_2018.pdf)

Huwyler, F., J. Käppeli, and J. Tobin. 2016. Conservation Finance: From Niche to Mainstream: The Building of an Institutional Asset Class. Credit Suisse. Available at <https://www.credit-suisse.com/media/assets/corporate/docs/about-us/responsibility/banking/conservation-finance-en.pdf>

Tobin-de la Puente, J., and 34 co-authors. 2015. Design of a Sustainable Financial System: Swiss Team Input into the UNEP Inquiry. Swiss Federal Office for the Environment. Available at [http://unepinquiry.org/wp-content/uploads/2015/04/Design\\_of\\_a\\_Sustainable\\_Financial\\_System\\_Swiss\\_Team\\_Input\\_into\\_the\\_UNEP\\_Inquiry.pdf](http://unepinquiry.org/wp-content/uploads/2015/04/Design_of_a_Sustainable_Financial_System_Swiss_Team_Input_into_the_UNEP_Inquiry.pdf)

Huwyler, F., J. Käppeli, K. Serafimova, E. Swanson, and J. Tobin. 2014. Building a market for conservation. *Environmental Finance*, Spring 2014, pp. 40-43

Huwyler, F., J. Käppeli, K. Serafimova, E. Swanson, and J. Tobin. 2014. Making Conservation Finance Investable. *Stanford Social Innovation Review* (online). Available at [http://www.ssireview.org/up\\_for\\_debate/article/making\\_conservation\\_finance\\_investable](http://www.ssireview.org/up_for_debate/article/making_conservation_finance_investable)

Huwyler, F., J. Käppeli, K. Serafimova, E. Swanson, and J. Tobin. 2014. Conservation Finance: Moving Beyond Donor Funding Toward an Investor-Driven Approach. Credit Suisse. Available at <https://www.credit-suisse.com/media/assets/corporate/docs/about-us/responsibility/environment/conservation-finance-en.pdf>

Tobin, J. 2012. Foreword in: *The 2050 Criteria: Guide to Responsible Investment in Agricultural, Forest, and Seafood Commodities*. WWF. Available at

[http://wwf.panda.org/what\\_we\\_do/how\\_we\\_work/businesses/transforming\\_markets/solutions/commodity\\_financing/2050\\_criteria/](http://wwf.panda.org/what_we_do/how_we_work/businesses/transforming_markets/solutions/commodity_financing/2050_criteria/)

Kopp, M., K. Serafimova, R. Baumann, F. Huwyler, N. Mastacchi, and J. Tobin-de la Puente. 2012. Decarbonizing Swiss Real Estate: The Credit Suisse Case Study. Credit Suisse. Available at [https://www.credit-suisse.com/ch/real\\_estate/doc/Nachhaltigkeit/study\\_decarbonizing\\_swiss\\_real\\_estate\\_eng.pdf](https://www.credit-suisse.com/ch/real_estate/doc/Nachhaltigkeit/study_decarbonizing_swiss_real_estate_eng.pdf)

Vellacott, T., M. Kopp, K. Serafimova, J. Tobin-de la Puente, A. Aulisi, P.-Y. Bolinger, F. Huwyler, V. Hoffmann. 2011. Transition to a Low Carbon Economy: The Role of Banks. Credit Suisse. Available at <https://publications.credit-suisse.com/index.cfm/publikationen-shop/sustainability/>

Emling, D., J. Tobin, and R. Buholzer. 2009. Werkzeug zur Armutsbekämpfung. *Schweizer Monatshefte* 967:30-31.

Tobin, J.E. 1997. Competition and coexistence of ants in a small patch of rainforest canopy in Peruvian Amazonia. *Journal of the New York Entomological Society* 105:105-112.

Tobin, J.E. 1995. Ecology and Diversity of Neotropical Rainforest Canopy Ants. Ph.D. Dissertation, Harvard University, Cambridge, Massachusetts.

Tobin, J.E. 1995. Ecology and diversity of tropical forest canopy ants. In Lowman, M.D., and N.M. Nadkarni, eds., *Forest Canopies: A Review of Research on this Biological Frontier*, pp. 129-147. Academic Press, San Diego.

Tobin, J.E. 1994. Ants as primary consumers: Diet and abundance in the Formicidae. In Hunt, J.H., and C.A. Nalepa, eds., *Nourishment and Evolution in Insect Societies*, pp. 279-307. Westview Press, Boulder.

Tobin de la Puente, J. 1993. La importancia de los inventarios biológicos para la ciencia de la conservación. In *Memoria del X Congreso Nacional de Biología, Agosto 1992, Lima, Peru*, pp. 107-110.

Tobin de la Puente, J. 1993. La vida a modo de inventario. *El Comercio* (Lima), November 27, 1993.

Tobin, J.E., R. Goldberg, and D.D. Hopkins. 1993. Biodiversity entreaty. *Science* 262:13-14.

Nonacs, P. and J.E. Tobin. 1992. Selfish larvae: Development and the evolution of parasitic behavior in the Hymenoptera. *Evolution* 46:1605-1620.

Moffett, M.W. and J.E. Tobin. 1992. Physical castes in ant workers: A problem for *Daceton armigerum*. *Psyche* 98:283-292.

Tobin, J.E. 1991. Free lunch? *Nature* 352:482.

Tobin, J.E. 1991. A Neotropical rain forest canopy ant community: Some ecological considerations. In Huxley, C.R., and D.F. Cutler, eds., *Ant-Plant Interactions*, pp. 536-538. Oxford University Press, Oxford.

Tobin, J.E. 1990. Nourishment, caste thresholds and workerless social parasites in the Formicidae. In Veeresh, G.K. et al., eds., *Social Insects and the Environment*, pp. 649-650. Oxford and IBH Publishing Co., India.

Cover, S.P., J.E. Tobin, and E.O. Wilson. 1990. The ant community of a tropical lowland rainforest site in Peruvian Amazonia. In Veeresh, G.K. et al., eds., *Social Insects and the Environment*, pp. 699-700. Oxford and IBH Publishing Co., India.