

GEORGE ANDREW KAROLYI

PERSONAL INFORMATION

Business Address:

174A Statler Hall
Cornell S.C. Johnson College of Business
Cornell University
Ithaca, N.Y., 14853-6201, U.S.A.
Phone: (607) 255-2153
E-mail: gak56@cornell.edu

Status:

Born: Vancouver, B. C., Canada
Dual Citizen: Canada, U.S.A.
Married, two adult sons

EDUCATION

Ph.D, University of Chicago, Graduate School of Business, Chicago, IL, 1989
M.B.A., University of Chicago, Graduate School of Business, Chicago, IL, 1987
M.A. (Economics), University of Ottawa, Ottawa, Canada, 1985
B.A. (First Class Honours, Economics), McGill University, Montreal, Canada, 1983

PROFESSIONAL APPOINTMENTS

Cornell University, Cornell S.C. Johnson College of Business
Charles Field Knight Dean, March 2021 - present
Deputy Dean and College Dean of Academic Affairs, 2018 - 2021

Cornell University, S.C. Johnson Graduate School of Management
Associate Dean for Academic Affairs, 2016 - 2018
Harold Bierman, Jr. Distinguished Professor of Management, 2016 – present
Alumni Chair in Asset Management, 2009 – 2016
Professor of Finance and International Business, 2009 – present
Professor of Economics (Arts and Sciences), 2011 – present
Clifford H. Whitcomb Faculty Fellow, 2014-2015
Member, Graduate School Fields of Management, Economics, Applied Economics

Ohio State University, Fisher College of Business, Columbus, Ohio
Dean's Distinguished Chair in Investment Management, 2008 - 2009
Charles R. Webb Designated Professorship in Finance, 2003 –2008
Dean's Distinguished Research Professorship, 2001 –2003
Professor of Finance, 2001 – 2009
Associate Professor of Finance (tenured), 1995 –2001
Assistant Professor of Finance, 1989 - 1995

University of Western Ontario, Richard Ivey School of Business, London, Canada
Associate Professor of Finance (tenured), 1996 –1998

University of Alberta, Faculty of Business, Edmonton, Canada
Assistant Professor of Finance, 1988 - 1989.

Bank of Canada, Research Department, Ottawa, Canada
Economist, Special Studies Division, 1983 - 1985.

INQUIRE-U.K. Grant, 2007-2008, £8,600, for “What Factors Drive Global Stock Returns?” (Principal Investigator, with Bong-Chan Kho and Kewei Hou)

BSI Gamma Foundation Grant, 2006-2007, \$12,000, for “What Factors Drive Global Stock Returns?” (Principal Investigator, with Bong-Chan Kho and Kewei Hou)

BSI Gamma Foundation Grant, 2003-2004, \$12,000, for “The Role of ADRs in the Development and Integration of Emerging Equity Markets”

Social Sciences and Humanities Research Council of Canada Grant, \$58,900, for “Global Equity Issuance and Trading,” (Principal Investigator) 1997-99.

Dean's Summer Research Fellowship from College of Business of Ohio State University, \$15,000, for project “Global Inter-listings of Stocks,” 1994.

College of Business Research Seed Grant, Ohio State University, \$5,438, for “Good News, Bad News and International Spillovers of Stock Return Volatility between Japan and the U.S.,” 1994-95.

Social Sciences and Humanities Research Council of Canada Grant, \$19,800, for “The Impact of Canadian Stocks Listing on U.S. Exchanges” (Collaborator; Stephen Foerster, Principal Investigator), 1992-94.

Dean's Summer Research Fellowship from College of Business of Ohio State University, \$12,000, for “Index Arbitrage, Stock Market Volatility and Expiration-day Effects in Japan,” 1992.

Faculty Research Grant, International Council of Canadian Studies, \$4500, for “International Transmissions of Stock Return Volatility,” 1991-92.

University Research Seed Grant, Ohio State University, \$5,357, for “International Transmissions of Stock Return Volatility”, 1991-92.

University Research Seed Grant, Ohio State University, \$5,700, (with K.C. Chan and Rene Stulz) for “Global Financial Markets and the Risk Premium on U.S. Equity”, 1991-92.

EDITORIAL SERVICE

Executive Editor, [Review of Financial Studies](http://www.rfssfs.org), 2014 – 2018 (2nd 3-year contract renewed, 2017, www.rfssfs.org, listed in *Financial Times' Top 50 Journals*, Association of Business School's “*World Elite*” of *Business Journals*]

- Manage and oversee operations and editorial functions, protocols, and decisions of the peer-reviewed journal with 1,700+ submissions per year
- Oversee 7 co-editors, 24 associate editors, one managing editor, two advisory editors, and ad hoc copy-editors
- Manage and promote all sponsored and dual-submission conferences involving the journal
- Oversee financials of the journal together with Managing Editor, including payment system for ad hoc reviewers and ad hoc copy-editors
- Coordinate relationship with Oxford University Press publisher team
- Author of the “Executive Editor Blog” featuring forthcoming research in the journal

Co-Editor, Review of Financial Studies, 2010 – 2014 (2nd three-year contract renewed 2013)
Co-Editor, Pacific Basin Finance Journal, 1999 – 2003
Advisory Editor, Journal of Empirical Finance, 2010 – present
Advisory Editor, Emerging Markets Review, 2010 – present
Advisory Editor, Journal of Financial Risk Management, 2020 - present
Advisory Editor, International Journal of Managerial Finance, 2012 – present
Advisory Editor, Journal of Financial Research, 2017 - present
Associate Editor, Journal of Financial Economics, 2008 - 2014
Associate Editor, Journal of Finance, 2006 - 2010
Associate Editor, Review of Finance, 2005 – 2014
Associate Editor, Journal of Banking and Finance, 1998 – 2014
Associate Editor, Journal of Empirical Finance, 1995 – 2010
Associate Editor, Journal of International Financial Management and Accounting, 2010 – present
Associate Editor, Multinational Finance Journal, 2020 - present
Associate Editor, Journal of International Business Studies, 2004 - 2010
Associate Editor, Journal of Financial Research, 2001 – 2013
Associate Editor, Journal of International Financial Markets, Institutions, and Money, 1999-2004
Associate Editor, International Review of Applied Financial Issues in Economics, 2009-present
Associate Editor, North American Journal of Economics and Finance, 2010-present
Associate Editor, Pacific Basin Finance Journal, 1997 – 1999, 2003 – present
Associate Editor, Emerging Markets Finance and Trade, 2012 – present
Associate Editor, VoxChina.org (2017- present)

Ad Hoc reviewer for the over 45 different journals since 1990.

Ad hoc reviewer for the following grant agencies:

Social Sciences & Humanities Research Council of Canada
U.S. National Science Foundation
Australian Research Council
Hong Kong Research Council
Canadian Embassy Research Grant Council
U.S. Civilian Research and Development Foundation

LEADERSHIP AND PROFESSIONAL SERVICE

American Finance Association (Member, 1987 - present)

2021-2023 – Member, Board of Directors (elected)

2005-2006 – Member, Board of Directors (appointed as replacement)

2003, 2006, 2011, 2012 – Member, Program Committee and Session Chair

2002 – Member, Nominations Committee

Western Finance Association (Member, 1989-present)

2022 – Distinguished Keynote Speaker (Portland, OR)

2020-2021 – Past President

2019-2020 – President and Chair, Executive Committee and Board of Directors

2018-2019 – Vice President-Program Chair and Member, Executive Committee

2017-2018 – Vice President and Member, Executive Committee

2016-2017 – Vice President (Elected)

2000 -2002, 2005-2016 – Member, Program Committee

2004 - 2008, 2011, 2015-2017 – Invited Panelist, KPMG's The PhD Project

2003 – Associate Program Chair (with Program Chair René Stulz)

Financial Management Association (Member, 1990 – present)
2014 - 2017 – Chairman, Board of Trustees (elected)
2013 - 2019 – Member, Board of Trustees
2012 - 2013 – Past-President and Member, Executive Committee
2011 - 2012 – President and Chair, Executive Committee
2010 - 2011 – President-elect and Member, Executive Committee
2011 – Coordinator, Doctoral Consortium, FMA Asia Meetings (New Zealand)
2009 – Vice-President, Program Chair (FMA Program, 2009, Reno)
2006 – Program Track Chair (International Finance)
2004 – 2006, Academic Director (elected)
2005 – 2006, Member, Nominations Committee
2001, 2004, 2013 – Invited Panelist, Doctoral Student Seminar
2000 – Member, Annual Conference Program Improvement Committee
1999 – Member, Selection Committee, VP – Practitioners
1998 – 2001, Regional Director (elected)
1999 – 2000, Member, Nominations Committee
1999 – 2001, 2004 - 2005, Member, Program Committee

Society for Financial Studies (Member, 1988 - present)
2010 – 2018, Executive Editor and Co-Editor, *Review of Financial Studies*
2013 – 2015, Organizing Committee, SFS Cavalcade, Associate/Vice Chair (2015)

Responsible Research in Business and Management Network
2021 – present, Elected Member, Working Board
2020 – present, Member, RRBM Deans Hub

United Nations [Principles for Responsible Management Education](#)
2022 – present, Elected Member, Board of Directors

[Accounting for Sustainability, a Part of HRH Prince of Wales's Charitable Foundation](#)
2021 – present, Member, Global Advisory Council
2021 – 2022, Member, Judges Panel, Finance for the Future Awards

Institute for Sustainable Finance, Queen's University, Smith School of Business
2021 – present. Member, Research Advisory Council

Pacific Center for Asset Management, University of California at San Diego
2019 – present, Chair, Academic Advisory Council

McGill University's Desautels School of Management, 2018, Provost's Program Review Team

Financial Economists' Roundtable, 2017 – present, Member

Global Finance Conference, 2013 – present, Member, Scientific Council

Pacific Basin Financial Management Society (Member, 1992 – 2001)
1999 – 2001, Member, Board of Directors, Editorial Representative
1998 – Member, Board of Directors, North American Representative

KEYNOTE ADDRESSES, PRESENTATIONS, SPEECHES

Invited Speeches, Presentations, and Keynote Addresses:

- 1998:** Invited Address, Canadian Investor Relations Institute National Meetings (Toronto)
Invited Address, Financial Executives Institute, Western Ontario Chapter (London)
Invited Address, International Investor Relations Federation Conference (Toronto)
- 1999:** Invited Address, National Investor Relations Institute Conference (Orlando, Florida)
- 2000:** Invited Address, Nordic Investor Relations Conference (Helsinki, May 2000)
Invited Address, Kaderschule Zurich, Swiss Banking Institute (Zürich, May 2000)
- 2001:** Invited Address, Financial Management Association Doctoral Student Consortium
- 2002:** Keynote Address, Administrative Sciences Association of Canada (Winnipeg, Canada)
- 2003:** Invited Presentation, National Investor Relations Institute Global Webcast
- 2004:** Keynote Address, Center for Research on the Electricity Pricing Workshop (Tokyo)
Invited Address, Financial Management Association Doctoral Student Consortium
Invited Address, The PhD Project, Western Finance Association Meetings (Vancouver)
- 2005:** Invited Address, Ohio State University's Fisher Thought Leaders Forum
- 2006:** Keynote Address, The PhD Project, Western Finance Association Meetings
Invited Address, Dimensional Fund Advisors Conference (Santa Monica, CA)
- 2007:** Invited Address, Dimensional Fund Advisors Risk Symposium (Santa Monica, CA)
Invited Address, National Association of State Retirement Administrators, Aventura, FL
Invited Address, Society of Chartered Financial Analysts, Columbus Chapter
Invited Address, Dimensional Fund Advisors Advanced Investment Symposium
Invited Address, Institutional Investors Education Forum
- 2008:** Keynote Address, Hofstra University's Merrill Lynch Center Conference (Long Island)
Invited Address, Ohio Public Pension Forum (Columbus, OH)
Keynote Address, Erasmus University Conference on Liquidity (Rotterdam)
Invited Address, Dimensional Fund Advisors Investment Forum (Chicago)
- 2009:** Invited Address, Ohio Public Pension Forum (Columbus, OH)
Invited Lecture, University of Victoria on "Future Competitiveness of U.S. Markets"
Invited Address, Ohio State University CIBER Conference on Doctoral Education
- 2010:** Alberta Investment Management Co. Distinguished Lecture (Edmonton, Alberta)
Invited Address, Dimensional Fund Advisors Risk Symposium (Austin, TX)
Keynote Address, Asian Finance Association Meetings (Hong Kong)
Keynote Address, Singapore International Conference on Finance (Singapore)
Keynote Address, National Taiwan University International Finance Conference (Taipei)
- 2011:** Keynote Address, Eastern Finance Association (Savannah, GA)
Dimensional Fund Advisors Investment Forum (Boston, MA)

Keynote Address, Conference Internationale de Gouvernance (Montreal, Canada)
Keynote Address, Australasian Banking and Finance Conference (Sydney, Australia)

- 2012:** Keynote Address, Northern Finance Association (Niagara-on-the-Lake, Canada)
- 2013:** Keynote Address, Cross-Border Capital Flows Working Group, Cass Business School
Panelist, Summit on Responsible Investing, Cambridge Judge Business School
Presentation, Dimensional Fund Advisors Investment Forum (London)
Presentation, Dimensional Fund Advisors Investment Forum (New York)
- 2014:** Keynote Address, Midwest Finance Association Conference (Orlando, Florida, March)
Keynote Address, Australasian Banking and Finance Conference (Sydney, December)
Keynote Address, Financial Management Association Asia Conference (Tokyo, May)
Keynote Address, 3rd ITAM Finance Conference (Mexico City, June)
Invited Address, PhD Summer School, Peking University (Beijing, China, July)
Keynote Address, 3rd Luxembourg Asset Management Summit (November)
- 2015:** Keynote Address, Mid-Atlantic Research Conference (Philadelphia, PA, March)
Keynote Address, Junior Faculty Workshop, UNC-Duke Corporate Finance, Chapel Hill)
Invited Address, Research Affiliates Advisory Panel Meetings (Laguna Beach, CA)
Keynote Address, Wake Forest Conference on Culture & Finance (Winston-Salem, NC)
Invited Address, Norges Bank Investment Management Investor Conference, Oslo
- 2016:** Keynote Address, Florida State SunTrust Finance Conference (Florida, April)
Keynote Address, Shanghai University of Finance & Economics Conference (March)
Panelist, 5th Symposium on Emerging Financial Markets, Hong Kong
Keynote Address, Conference in International Finance (Hong Kong, June)
Keynote Address, INFINITI Conference (Dublin, Ireland, June)
Leader, Junior Faculty Workshop, Northern Finance Association (Mt. Tremblant)
Panelist, Global Issues in Accounting Conference, Chapel Hill, North Carolina
Presentation, Norges Bank Investment Management, Oslo, Norway
Presentation, PCAOB International Institute for Foreign Regulators, Washington, DC
Invited Presentation, Financial Management Association Meetings, Las Vegas, NV
Keynote Address, Korea Securities Association, Annual Conference, Seoul, Korea
Keynote, KAIST CEO Forum Breakfast, Seoul, Korea
- 2017:** Keynote Address, Corvinus University International Liquidity Conference (November)
Keynote Address, Santiago Finance Workshop (Chile, December)
Invited Panelist, The PhD Project, Western Finance Association (Whistler, B.C.)
Invited Address, Finance Alumni Conference Ohio State University (April)
- 2018:** Keynote Address, Magnolia Finance Conference (Mississippi State University, April)
Keynote Address, Smokey Mountain Finance Conference (Ashville, NC, May)
Keynote Address, Telfer School of Management, Université d'Ottawa (Ottawa, May)
Keynote Address, Spanish Finance Association Annual Meetings (Santander, July)
Keynote Address, Hong Kong UST Finance Symposium (December)
Keynote Address, Australasian Banking and Finance Conference (Sydney, December)
- 2019:** Keynote Address, Fixed Income & Financial Institutions Conference (S. Carolina, April)
Keynote Address, Financial Management Association, Latin America (Bogota, June)
Keynote Address, Corvinus University International Liquidity Conference (November)

- 2020:** Keynote, Mayo Center for Asset Management, UVA-Darden-FMA International, April
Keynote Address, Canadian Sustainable Finance Conference, Virtual, October
Keynote Address, Global Strategy & Emerging Markets Conference, Virtual, November
- 2021:** Keynote Address, International Workshop on Financial System Architecture and
Stability, Virtual, September
Keynote Address: Southern Finance Association Annual Conference (Captive Island, FL)
- 2022:** Distinguished Keynote Address, Western Finance Association Meetings (Portland, OR)
Keynote Address: Tsinghua PBCSF Global Finance Forum (April 16, Virtual)
- 2023:** Distinguished Keynote Address, Guanghua School of Management, Peking University,
(Beijing, China, November)
Distinguished Keynote Address: Tsinghua PBC School of Finance, Tsinghua University
(Beijing, China, November)

Conference Presentations and Invited Research Seminars

- 1988:** Universities of Alberta, British Columbia, Association of Managerial Economists
- 1989:** Ohio State University, University of Michigan, Association of Managerial Economists
- 1990:** Purdue University, European Finance Association, Financial Management Association,
Northern Finance Association, Association of Managerial Economists
- 1991:** Universities of Western Ontario, Indiana, Western Finance Association, European Finance
Association, National Bureau of Economic Research, Pacific Basin Capital Markets
Conference, Western Economics Association, University of Wisconsin's Johnson
Symposium
- 1992:** American Finance Association, Northern Finance Association
- 1993:** Wilfrid Laurier University, Ohio State's Agricultural Economics, Northern Finance
Association Meetings
- 1994:** Queen's University, Michigan, Australian Graduate School of Management, Reserve Bank
of Australia, Pacific Basin Capital Markets Conference, Northern Finance Association,
University of Chicago CRSP Seminar, Cornell/Queen's Derivative Securities Conference
(Ithaca, NY)
- 1995:** Universities of Toronto, Western Ontario, McGill, Universiteit Limburg, Maastricht,
Netherlands, Illinois, American Finance Association, National Bureau of Economic
Research, Georgia Tech Global Investment Forum (Atlanta, GA), Asia Pacific Finance
Association (Hong Kong), High Frequency Data in Finance (Zurich, Switzerland),
University of Michigan Conference on Finance and Accounting, Columbia University
Conference on Emerging Trends in Japanese Financial Markets
- 1996:** Universities of Alberta, Waterloo, Queen's, Université Laval, American Finance
Association, Western Finance Association, Financial Management Association, Georgia
Tech Global Investment Forum (Atlanta, GA), Northern Finance Association, UBC Global

Investment Conference (Whistler, Canada), Vanderbilt University Conference on International Investing

- 1997:** Universities of Toronto, American Finance Association, Western Finance Association, Pacific Basin Capital Markets Conference, American Real Estate and Urban Economics Association, Northern Finance Association, Financial Management Association International (Geneva, Switzerland), New York Stock Exchange Conference on Global Equity Issuance and Trading (Cancun, Mexico), University of California-Davis Conference on 10th Anniversary of the October 1987 Market Crash
- 1998:** Cornell University, Universities of South Carolina, Southern California, Virginia (Darden), American Finance Association, National Bureau of Economic Research, American Real Estate and Urban Economics Association, Georgia Tech Global Investment Forum (Atlanta, GA)
- 1999:** Arizona State University, Southern Methodist, Georgia Tech Global Investment Forum
- 2000:** Virginia Tech, Harvard Business School, Rice, University of Zurich, Switzerland, Yale, Michigan State, London School of Economics Financial Markets Group Conference on The Future of Exchanges: Strategic Choices Ahead (London, UK)
- 2001:** Rice, Universiteit Limburg (Maastricht, Netherlands), Université Genève (Geneva, Switzerland), Florida, Minnesota, Emory, UCLA, Western Finance Association, UBC Global Investment Conference (Whistler, Canada), NYSE Conference on Global Equity Markets in Transition (Kona, Hawaii)
- 2002:** Vanderbilt University, Kentucky, Utah, Washington, British Columbia, International Monetary Fund, Indiana, Case Western Reserve, Chicago, Cincinnati, American Finance Association, Western Finance Association, European Finance Association, Georgia Tech Global Investment Forum (Atlanta, GA), World Bank Conference on International Market Liberalization (Washington, DC), Ohio State University's CIBER Conference on International Doctoral Education (Columbus, OH), UCLA's CIBER Working Group Conference on International Capital Flows (Los Angeles, CA), Journal of Empirical Finance Conference on Behavioral Finance (Palma de Mallorca, Spain), Stanford University Law School Conference on International Listings (Palo Alto, CA)
- 2003:** International Monetary Fund, Texas A&M, Bank of Canada, American Finance Association, American Economics Association, Georgia Tech Global Investment Forum (Atlanta, GA), Ohio State University Moritz College of Law Conference on Corporations, Financial Markets and the Government (Columbus, OH), BSI Gamma Foundation Conference on Emerging Financial Markets (Milan, Italy)
- 2004:** City University Business School (London), International University of Japan (Tokyo), Federal Reserve Board of Governors, McGill, Cornell, Notre Dame, Alberta, Harvard Business School, Georgetown, Pennsylvania State, American Finance Association, Western Finance Association, American Economics Association, National Bureau of Economic Research, NYSE Conference on The Future of Global Equity Trading (Sarasota)
- 2005:** York University, University of Toronto, University of North Carolina, University of Miami, New York Stock Exchange, University of Illinois, American Finance Association, American Economics Association, Financial Management Association, Journal of

Empirical Finance Conference on International Finance at Limburg Institute of Financial Economics (Maastricht, The Netherlands), Wharton Conference on The Future of International Cross-Listings at Weiss Center for International Finance (Philadelphia, PA)

- 2006:** ISCTE (Lisbon, Portugal), Universidad de Porto (Porto, Portugal), Wharton School, University of Pennsylvania, Purdue, Western Finance Association (two papers), UBC Global Investment Conference (Banff, Canada), Wharton Conference on Issues in Global Asset Allocation at Weiss Center for International Finance (Philadelphia, PA), York University, New York University Conference on International Accounting (New York, NY), BSI Gamma Foundation Conference on Firm Characteristics and Investment Management, Purdue University
- 2007:** University of Southern California, Queen's University Conference on International Finance (Kingston, Canada), University of Kansas, Wharton Conference on Issues in International Corporate Governance at Weiss Center for International Finance (Philadelphia, PA), McGill University Conference on Investment Management (Montreal, Canada), National Bureau of Economic Research Corporate Finance Program (Boston, MA), Erasmus University (Rotterdam, Netherlands), University of Amsterdam (Amsterdam, Netherlands), Securities and Exchange Commission (Washington, DC), Barclays Global Investors (San Francisco), Hong Kong University of Science and Technology Conference (Hong Kong), Chinese University of Hong Kong (Hong Kong)
- 2008:** Cornell University, University of Virginia-Darden, American Finance Association (New Orleans, LA), Wharton Conference on Alternative Investments at Weiss Center for International Finance (Philadelphia, PA), Journal of Accounting Research Conference (Chicago, IL), Western Finance Association Meetings (Hawaii)
- 2009:** American Finance Association Meetings, University of Miami, University of South Carolina, University of Victoria (Canada), Nanyang Business School (Singapore), National University of Singapore, Singapore Management University, Georgetown University, Concordia University, HEC Paris, Rutgers University, Queen's University.
- 2010:** American Economics Association Meetings (Atlanta, GA), University of Michigan, University of Calgary, Concordia University, University of Connecticut, Northeastern University, Georgetown University, Ninth Annual Darden Conference on Emerging Markets Finance (Charlottesville, VA), National University of Singapore, George Washington University, Dartmouth University.
- 2011:** American Finance Association Meetings (Denver, CO), Harvard Business School, Temple University, Syracuse University, University of Amsterdam, Oxford University's Man Institute, Cambridge University, Notre Dame University, University of North Carolina's Global Issues in Accounting Conference, University of Maryland, Securities and Exchange Commission, Office of the Comptroller of the Currency, Public Company Accounting Oversight Board of Commissioners, University of Cyprus.
- 2012:** American Finance Association Meetings (Chicago, IL), INSEAD, Tsinghua University (Beijing), Cheung Kong Graduate School of Business, Laval University, University of Rochester, University of Texas-Dallas, Southern Methodist University, Babson College, Brigham Young University, University of Pittsburgh, Florida International University, INSEAD, Boston College, DePaul University, University of North Carolina, Northern Finance Association Meetings (Niagara).

- 2013:** Cambridge Finance Group, Cambridge Judge Business School, London Business School, London School of Economics, Cass Business School at City University London, University of College Dublin FMC² Conference, Université Genève, EPFL/HEC Lausanne, Stockholm School of Economics, Copenhagen Business School, Warwick University, Imperial College London, Baruch College, International Monetary Fund, American University, George Washington, University, University of Texas at Austin.
- 2014:** American Economics Association (Philadelphia, PA), Erasmus University, Tilburg University (Netherlands), Bocconi University (Italy), University of Toronto, Fordham University, New York University, PBC School of Finance at Tsinghua University, University of Illinois, SUNY Buffalo.
- 2015:** Financial Management Association Asia meetings (Seoul, Korea), University of Mississippi, Board of Governors of the Federal Reserve Board (Washington), Research Affiliates Advisory Panel (Laguna Beach, CA), University of Alberta's Frontiers of Finance Conference (Banff), UC Riverside Inaugural Citrus Finance Conference, Western Finance Association Meetings (Seattle), BI Norway (Oslo), Aalto University (Helsinki), Purdue University, Pennsylvania State University.
- 2016:** Michigan State University, Arizona State University, Korean Capital Markets Institute, Shanghai Advanced Institute of Finance, Shanghai University of Finance and Economics, York University.
- 2017:** University of Chicago, HEC Paris, INSEAD, University of Michigan, Inaugural FMA Latin America Meetings (Mexico City), Stevens Institute of Technology.
- 2018:** American Finance Association Meetings (Philadelphia), University of California-Irvine, Southern Methodist University.
- 2019:** American Finance Association Meetings (Atlanta), Emory University, University of British Columbia, Georgetown University, University of Maryland, University of Exeter, Bristol University, University of Massachusetts-Amherst, Cambridge University's Centre for Endowment Asset Management Conference on Long Term Investing (Cambridge UK).
- 2020:** Wilfrid Laurier University, Florida International, Michigan State, IESE Business School, BNY Mellon Leadership Conference on Economy (moderator, October), Avantis Investors Webinar on Emerging Markets (June), eCornell Webinar on Leadership through Communication: Navigating the COVID-19 Crisis (moderator, March), eCornell Webinar on Financial Markets during COVID-19 Crisis (moderator, April), eCornell Webinar on Pursuing Financial Sustainability (panelist, July), Paulson Institute-The Nature Conservancy-Atkinson Center Webinar on Financing Biodiversity (panelist, September), eCornell Webinar on Financial Stability in India (panelist, October), eCornell Webinar on Elections and Business (moderator, October), Emerging Markets Institute, Cornell University, 10th Anniversary Celebration (panelist, October), Georgia Tech Retirement Celebration of Cheol Eun (panelist, December)
- 2021:** CARE Accounting for Sustainability and Responsible Investing Conference, ITAM Finance Conference, AI & Big Data in Finance Research Forum Discussion, Canadian Sustainable Finance Network Conference, University of Texas at Dallas, PhD Project FEDSA Editors Panel, Cato Institute Colloquium on Exploring the Role of Freedom in

Human Progress, Innovations in Undergraduate and Graduate Business Education Conference (Rutgers, Virtual), Global Business School Network Beyond Conference on Sustainable Finance and Investments.

2022: American Finance Association Meetings (Boston, Virtual), PhD Project FEDSA Editors Panel, USC Conference on the Future of Business Education (Los Angeles, May), Center for International Business Advancement Annual Conference (April, Binghamton), Tsinghua PBCSF Lecture Series on Global Competence (March, Virtual), Aspen Institute Business & Society Program ESG Conference (July, Aspen), Responsible Research in Business & Management Annual Summit (June, Philadelphia), University of Cyprus Conference to Honor the Late George Nishiotis (virtual presenter, June), Deans in Action Conference, UN Global Compact Leader's Summit & PRME Global Forum (June, New York), Northwestern Kellogg School's Impact and Sustainable Finance Faculty Consortium (virtual panelist, June), International Sustainability Standards Board Sustainability Standards Watchers Conference (Frankfurt, Hybrid, July), Cornell ESG Investing Conference (Ithaca, July), : CARE Accounting for Sustainability and Responsible Investing Conference (September).

2023: American Finance Association Meetings (New Orleans, LA), Invited Address on "Biodiversity Finance: The Next Frontier," Kroner Center for Financial Research (University of California, San Diego Rady School, January), 2023 Comprehensive Dean's Conference on "The Future of Business School Rankings" (Goizueta Business School, Emory University, March), Invited Address on "The Opportunities and Potential Pitfalls of ESG Investing" (VinUniversity, Hanoi, Vietnam, April), Chair of Faculty Panel on Sustainability, Cornell University Asia-Pacific Leadership Conference (Singapore, April), Panel on Research Reflections, University of Chicago Booth School's 100th Anniversary of PhD Program, Program Chair, CEMS Global Alliance on Business Education Strategic Board Meetings (NYC, May), Invited Panelist, Conservation Finance Conference (Credit Suisse HQ, NYC, May), Panel on The Future of Responsible Management Education, UN PRME Global Forum (Fordham, Gabelli School of Business, June), Closing Address, Responsible Research Summit (RRBM, INSEAD, June), Invited Panelist, Kellogg School Impact and Sustainable Finance Faculty Consortium (virtual, Northwestern University, June), Speaker, Aspen ESG Summit, Aspen Institute (Aspen, CO, July), Opening and Closing Remarks, Cornell ESG Investing Research Conference (Ithaca, NY, July), Panelist on "The Future of Business School Rankings" (Lehigh University, September), Panelist on "The Future of Business School Rankings" (Innovations in Undergraduate and Graduate Business Education Conference, Rutgers, New Brunswick, October), Invited Address on "Biodiversity Finance: A Call for New Research" at Financial Management Association Meetings (Chicago, IL, October)

2024: American Finance Association Meetings (San Antonio, TX), International Banking, Economics and Finance Association Meetings (San Antonio, TX), Invited Panelist, NYC Conference on Climate and Sustainability (Baruch College, CUNY, February 2024), Invited Address on "Biodiversity Finance: The Next Frontier" (Arizona State University's New Responsible Investing Center Conference, Tempe, AZ, April)

Conference Program Leadership and Participation

1995: Program Chair, Northern Finance Association Meetings (London, Canada)

1998: Track Chair, PACAP/FMA Finance Conference (Kuala Lumpur, Malaysia)

- 1999:** Program Committee, 1999 PACAP/FMA Finance Conference (Singapore), Program Committee, Western Finance Association Meetings, Program Committee, Financial Management Association
- 2000:** Program Committee, Western Finance Association Meetings, Program Committee, Financial Management Association
- 2001:** Program Committee, Western Finance Association Meetings, Program Committee, Financial Management Association, Program Committee, NYSE Conference on Global Markets (Kona, Hawaii)
- 2002:** Program Committee, Western Finance Association Meetings
- 2003:** Program Associate Chair, Western Finance Association Meetings, (Cabo San Lucas)
- 2004:** Track Chair (Asset Pricing), European Finance Association, Program Committee, European Financial Management Association, Program Committee, Financial Management Association
- 2005:** Program Co-Organizer, Weiss Center for International Financial Research Conference on The Future of International Cross-Listings at the Wharton School, University of Pennsylvania (Philadelphia, PA), Program Committee, Western Finance Association Meetings
- 2006:** Program Committee and Session Chair, American Finance Association Meetings (Chicago), Track Chair (International Finance), Financial Management Association, Program Committee, Western Finance Association Meetings, Program Co-Organizer, Weiss Center for International Financial Research Conference on “Issues in Global Asset Allocation” at Wharton School, University of Pennsylvania (Philadelphia, PA), Program Chair, Ohio State University Finance Alumni Research Conference (Columbus, OH)
- 2007:** Program Committee, Sixth Annual Darden Conference on Emerging Markets Finance at the New York Stock Exchange (New York, NY), Program Committee, Inaugural Jackson Hole Winter Conference (Jackson Hole, WY), Program Co-Organizer, Weiss Center for International Financial Research Conference on “International Corporate Governance” at Wharton School, University of Pennsylvania (Philadelphia, PA), Program Committee, Third Biennial McGill Global Investment Conference (Montreal, Canada), Program Committee, European Finance Association Meetings (Ljubljana, Slovenia), Program Committee, Western Finance Association Meetings
- 2008:** Program Committee, Seventh Annual Darden Conference on Emerging Markets Finance (Boston, MA), Program Co-Organizer, Weiss Center for International Financial Research Conference on “Global Perspectives on Alternative Investing” at Wharton School, University of Pennsylvania (Philadelphia, PA), Program Committee, European Finance Association Meetings (Athens, Greece), Program Committee, Western Finance Association Meetings (Kona, Hawaii)
- 2009:** Program Chair, Financial Management Association Meetings (Reno, NV), Program Committee, Eighth Annual Darden Conference on Emerging Markets Finance (Singapore), Program Co-Organizer, Weiss Center for International Financial Research Conference on “Issues in Global Liquidity” at Wharton School, University of Pennsylvania (Philadelphia,

PA), Program Committee, Fourth Biennial McGill Global Investment Conference (Montreal, Canada), Program Committee, Western Finance Association Meetings (San Diego, CA), Program Committee, Australasian Banking and Finance Conference (Sydney, Australia).

- 2010:** Program Committee, Ninth Annual Darden Conference on Emerging Markets Finance (Charlottesville, VA), Program Co-Organizer, Weiss Center for International Financial Research Conference at Wharton School, University of Pennsylvania (Philadelphia, PA), Program Committee, European Finance Association Meetings (Frankfurt, Germany), Program Committee, Australasian Banking and Finance Conference (Sydney, Australia), Program Committee, National University of Singapore Finance Conference.
- 2011:** Program Co-Organizer, Weiss Center for International Financial Research Impact Conference at Wharton School, University of Pennsylvania (Philadelphia, PA), Program Committee, 10th Annual Darden Conference on Emerging Markets Finance (Charlottesville, VA), Program Committee and Sponsoring Editor for Review of Financial Studies, 3rd Paris International Corporate Finance Conference (Paris, France), Program Committee, European Finance Association Meetings (Stockholm, Sweden), Program Committee, National University of Singapore Finance Conference, Program Committee, Australasian Banking and Finance Conference (Sydney, Australia), Program Committee, 4th Biennial McGill Global Investment Conference (Montreal, Canada), Program Committee, Western Finance Association Meetings (Santa Fe, NM), Program Committee, Australasian Banking and Finance Conference (Sydney, Australia).
- 2012:** Program Committee, 11th Annual Darden Conference on Emerging Markets Finance (Charlottesville, VA), Organizing Committee and Sponsoring Editor for Review of Financial Studies, 4th Paris International Corporate Finance Conference (Paris, France), Program Committee, Western Finance Association Meetings (Las Vegas, NV), Scientific Committee, 1st Luxembourg Asset Management Summit, Program Committee, Peking University Symposium on China's Capital Markets, BYU's Inaugural Red Rock Conference (Zion National Park).
- 2013:** Organizing Committee, SFS Cavalcade (University of Miami), Organizing Committee and Sponsoring Editor for Review of Financial Studies, 5th Biennial McGill Global Asset Management Conference (Montreal, Canada), Program Committee, Western Finance Association Meetings (Lake Tahoe, CA), Program Committee, 2nd Peking University Symposium on China's Capital Markets, 2nd Luxembourg Asset Management Summit, Program Committee, BYU 2nd Red Rock Conference (Zion National Park).
- 2014:** Organizing Committee, SFS Cavalcade (Georgetown University), Organizing Committee and Sponsoring Editor for Review of Financial Studies, 3rd Peking University Symposium on Emerging Capital Markets, Scientific Committee, 3rd Luxembourg Asset Management Summit, Program Committee, Program Committee, Program Committee, Australasian Banking and Finance Conference (Sydney, Australia), Western Finance Association Meetings (Monterrey, CA).
- 2015:** Organizing Committee and Sponsoring Editor for Review of Financial Studies, Conference on Entrepreneurial Finance and Innovation Around the World Program Committee (PBC School of Finance, Beijing), Organizing Committee and Sponsoring Editor for Review of Financial Studies, 4th Symposium on Emerging Capital Markets, Program Committee (New York City), Organizing Committee, Inaugural UC Riverside Conference on Information

and Financial Markets (Riverside, CA, April), Coordinator, Doctoral Consortium, FMA Asia Meetings (Seoul, Korea, May), 6th Biennial McGill Global Asset Management Conference (Montreal, Canada), Western Finance Association Meetings (Seattle, WA).

- 2016:** Organizing Committee and Sponsoring Editor for Review of Financial Studies, 5th Symposium on Emerging Capital Markets, Program Committee (Hong Kong), European Finance Association Meetings, Western Finance Association Meetings (Park City, UT).
- 2017:** Co-organizer and Sponsoring Editor for Review of Financial Studies Workshop on Financial Technology (Columbia University), Co-organizer and Sponsoring Editor for Review of Financial Studies Workshop on Climate Finance (Columbia University), European Finance Association Meetings, Western Finance Association Meetings (Program Committee, Vice-President Elect, Whistler, B.C.), European Finance Association Meetings (Mannheim).
- 2018:** Co-organizer and Sponsoring Editor for Review of Financial Studies Conference on Financial Technology (Cornell Tech, New York City), Co-organizer and Sponsoring Editor for Review of Financial Studies Conference on Climate Finance (London), Co-Organizer, Review of Financial Studies Conference on New Methods in Asset Pricing (Chicago Booth), European Finance Association Meetings, Western Finance Association Meetings (Program Committee, Vice-President, Coronado, California).
- 2019:** Western Finance Association Meetings (Program Chair, President-Elect, Huntington Beach, California), China FinTech Research Conference (Program Committee).
- 2020:** Utah Winter Finance Conference (Moderator, Editor Panel), FMA International Diversity Emerging Scholars Initiative (Member, Panel), Western Finance Association Meetings (President, Program Committee, San Francisco, California).
- 2021:** Inaugural Director of Mentorship, American Finance Association-The PhD Project Collaborative (virtual), Moderator, Editors Panel, UT Austin PhD Student Symposium, Program Committee, Western Finance Association, Program Committee, SFS Cavalcade, Program Committee, European Finance Association, Program Committee.
- 2022:** Program Committee, Tsinghua University's Annual Conference in Digital Economics (Fuzhou City, virtual), Program Committee, Oklahoma Energy and Climate Finance Research Conference, Program Committee, Program Committee, Western Finance Association, Program Committee, European Finance Association, Program Committee, China Fintech Research Conference, Program Committee, Global Finance Conference, Module Leader, Aspen Institute Business & Society Program ESG Conference (July, Aspen), Module Leader, Responsible Research in Business & Management Annual Summit (June, Philadelphia), Co-Organizer, Cornell ESG Investing Conference (Ithaca, July).
- 2023:** Program Committee, Western Finance Association, Program Committee, European Finance Association, Session Facilitator, Responsible Research in Business & Management Annual Summit (June, INSEAD), Co-Organizer, Cornell ESG Investing Conference (Ithaca, July).
- 2024:** Program Committee, Western Finance Association, Program Committee, European Financial Management Association, Program Committee, European Finance Association, Session Facilitator, Responsible Research in Business & Management Annual Summit (June, INSEAD), Co-Organizer, Cornell ESG Investing Conference (Ithaca, July).

UNIVERSITY AND COLLEGE LEADERSHIP AND SERVICE ACTIVITIES

Cornell S.C. Johnson College of Business

Dean, 2021 - present

- Chief Academic Officer with oversight responsibilities for two undergraduate, 14 Master's degree programs, 3 Ph.D. degree programs (Management, Applied Economics and Management, Hotel Administration), for faculty research and professional development, for compensation, workload & research support of 240+ tenure-track and non-tenure track faculty members across three constituent schools (Dyson School of Applied Economics and Management, Johnson Graduate School of Management, School of Hotel Administration)
- Executive Chair, College Leadership Team, inclusive of a Deputy Dean & Dean of Academic Affairs, three constituent School Deans, and seven Associate Deans of Marketing & Communications, Facilities and IT, Finance, External Relations, and Diversity & Inclusion, who together oversee a staff of 450+ persons, a \$330 million annual operating budget and a \$900m endowment

Deputy Dean and Dean of Academic Affairs, 2018 – 2021

- Chair, College Academic Planning Committee, which oversees 7 Academic Areas and their respective Area Coordinators, including strategic course planning process across all 19 degree programs, and research development & support planning via Directed Research and Teaching Funds (block grants to Academic Areas)
- Ex-officio Member, College Faculty Policy Committee (elected members from three schools) and Chair, College Educational Policy Committee (members from College Faculty Policy Committee and School-specific Faculty Policy Committees)
- Divisional Unit Head Responsibilities include:
 - Oversee Academic and Faculty Affairs Staff Unit, with administrative responsibilities for faculty hiring, promotions and tenure processes, faculty retirements, sabbatical leaves, across all three constituent schools
 - Oversee Admissions Staff Unit for both undergraduate programs in the Dyson School of Applied Economics and Management and School of Hotel Administration and to specialized, pre-experience Master's programs across all three schools
 - Oversee Centers, Institutes, & Cross-College Research Themes and Initiatives, including associated staff, administrative & faculty directors, including FinTech, Investing, Sustainability of Global Enterprises, Emerging Markets, Behavioral Economics and Decision Research, Business of Food, Innovation, Entrepreneurship & Technology. Co-lead new Cornell Engaged Learning Initiative funded by Einhorn Charitable Trust focused on environmental and social justice and business..

Associate Dean for Academic Affairs, Johnson Graduate School of Management, 2016 - 2018

- Deputy chief academic officer for School with oversight responsibilities for nine graduate academic degree programs and for faculty research development & support of 65 tenure-track and 28 non-tenure track faculty members
- Oversee all faculty hiring, promotions and tenure processes, faculty retirements, sabbatical leaves, elections/appointments to faculty committees
- Manage compensation and salary improvement decisions, and annual reviews

- Oversee one dozen senior staff members for ADAA office, offices of diversity and inclusion, six research centers and institutes, registrar, and visiting scholar program
- Oversee management of Administrative Sciences Quarterly, in-house School journal

Founding Academic Director, Emerging Markets Institute (EMI), 2010-2014

- Co-authored proposal for institute launch and led through approval process in School
- Established initial budget model, helped lead fundraising campaigns in 2010-2013
- Led hiring of inaugural executive director for institute in 2011
- Launched Emerging Markets Student Fellows program
- Oversaw competitive research grant program for faculty and Ph.D. students

Other University Service Activities:

- Member, University Committee on Diversity in Admissions, 2018-2019

Other College/School Service Activities:

- Chair, Dean's Task Force on School Branding, 2015-2016.
- School Dean's Search Committee, 2016
- External Ad Hoc Committee, Faculty Appeal, School of Hotel Administration, 2013.
- Faculty Ad Hoc Governance Committee, 2012
- Faculty Advisory Committee for Technology Services, 2012
- School Dean's Search Committee, 2011-2012
- Member (elected) and Chair, Faculty Policy Committee, 2011-2012, 2014-2016
- Member (elected), Faculty Policy Committee, 2010-2011
- Member, Global Programming Task Force, 2009-2010

Other Finance Area Group, S.C. Johnson Graduate School of Management Service Activities:

- Coordinator, Finance Seminar Series, 2009-2011
- Co-chair, Finance Recruiting Committee, 2010-2012, 2014-2015
- Member, Finance Recruiting Committee, 2009-2010

Ohio State University, Fisher College of Business

Director, Student Investment Management (SIM) Program (2001-2009)

- Oversaw all aspects of \$25 million student-managed fund as part of the \$2 billion endowment of The Ohio State University
- Oversaw hiring and support staff for adjunct teaching faculty for Business Finance 4228 and 7225 3-credit MBA and undergraduate courses
- Coordinated all program-related communication with Office of Chief Investment Officer of Ohio State University, including summer student hiring programs and student presentations to Investment Committee, Board of Trustees of Ohio State University
- Led fund-raising campaigns for student awards in fundamental research, including Rosenfield Family Prize for Excellence in Security Analysis awarded quarterly
- Initiated and facilitated CFA Institute Partnership program

Other College Service Activities:

- Member, College Personnel Committee (2002-2004, 2006-2008)
- Member, Committee for Graduate Education in Business (2001- 2007)
- Member, Advisory Board, Center for International Business Education (2001)

- Member, International Programs Committee, (1998- 2003)
- Member, Computing and Library Resources Committee (2001- 2003)
- Member, Evening MBA Program Task Force (2000-01)
- Member, MBA Policy Committee (1995-96, 1998-2002)
- Faculty Advisor, MBA Student Chapter of the FMA (1992-96)
- Faculty Advisor, MBA Consortium (1993)
- Member, Career Services Faculty Advisory Committee (1992-95)
- Member, College Research Committee (1992-95)
- Member, International Business Programs Task Force (1993-95)
- Member, Blue Ribbon Commission of the Agenda for Action (1991)

Other Department of Finance, Fisher College of Business, Service Activities:

- Member (elected), Departmental Executive Committee (2003 – 2009)
- Director, PhD Program in Finance (2001 – 2008)
- Faculty Advisor, MBA Finance Association, Ohio State (1994-1996, 2001–2008)
- Chair, Data Committee, Ohio State (1998-2009)
- Chair, New Faculty Search Committee, Ohio State (1998-2000)
- Member, Data Committee, Ohio State (1992-1996)
- Member, New Faculty Search Committee, Ohio State (1990-1995)
- Member, Finance Department Chairperson Search Committee, Ohio State (1991)
- United Way Finance Department Campaign Coordinator, Ohio State (1990)

University of Western Ontario Ivey School of Business, Service Activities

School Service Activities:

- Member, Research Committee (1996 - 1998)
- Member, PhD Program Committee (1997 - 1998)

COMMUNITY SERVICE LEADERSHIP

Chair, Tocqueville Society, United Way of Tompkins County, July 2023 - present

Board of Directors, United Way of Tompkins County, July 2018 – 2022

Chair, Planning and Community Investment Committee, July 2019 - 2021

Board of Advisors, Robert Trent Jones Golf Course Revitalization Project, 2018 – 2019

CONSULTING ACTIVITIES

Avantis Investors, American Century Funds Group

Cornerstone

The Analysis Group

Dimensional Fund Advisors

Labaton Sucharow

New York Stock Exchange

Bank of New York Mellon

Ohio Public Employees Retirement System

AOTUSA

Nordea Bank

Scotia Capital Markets

National Public Relations